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OFFICE OF THE VICE-PRESIDENT AND PROVOST

TO: Academic Board
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DATE: November 9, 2010 for November 25, 2010
AGENDA ITEM: 9c

ITEM IDENTIFICATION:

Provost's Annual Report on Cases of Academic Discipline 2009-10

JURISDICTIONAL INFORMATION:

The *Code of Behaviour on Academic Matters, 1995* requires the Provost to report annually in statistical format on cases of academic discipline to Academic Board.

PREVIOUS ACTION TAKEN:

N.A.

HIGHLIGHTS:

Each year divisions are asked to report on cases disposed of under Section C of the *Code of Behaviour on Academic Matters*. Information is also collected on the number of cases which come before the University Tribunal. This year's report is presented in the format introduced three years ago, which improves the clarity and reliability of the data. For reporting purposes the reporting year corresponds to the academic year that is from July 1st – June 30th. Resolution of a case refers to the event which concludes the proceedings under the *Code of Behaviour on Academic Matters* within the University. The data is collated based on the academic year in which a case is closed, and where it is closed – the division or the Tribunal. This year and moving forward we will present the annual report in the fall as opposed to the spring, so that the reporting time is closer to the reporting year.

The report provides a summary of divisional and University Tribunal Cases for the 2006-07, 2007-08, 2008-09 and 2009-10 reporting years. The overall number of cases of academic misconduct handled at the divisional level has increased slightly from the previous reporting year, with only two divisions experiencing a decline in the number of cases. There was a

Provost's Annual Report on Cases of Academic Discipline 2009-10

slight increase in most types of offences except for cases involving document forgery, which declined.

At the Tribunal level, charges were laid in 38 new cases. Fifty-one cases that were sent to the Tribunal were resolved during 2009-10. Fourteen of these cases were sent back to the decanal level or resolved by minutes of settlement. It should be noted that even though the data shows 26 cases as being carried forward, two cases have been resolved but fall under the following reporting year and 16 cases have been heard and are either awaiting a decision, a confirmation of expulsion or are in the process of being appealed to the appeal division of the Tribunal, the Discipline Appeals Board. Only six of the cases carried forward are awaiting a hearing, and two cases are in abeyance. Cases in abeyance will be reactivated and pursued only when the student applies to re-register at the University of Toronto.

In general, the data presented reflect a growing trend in the number of total cases of academic misconduct handled by the University Tribunal, and a slight increase at the divisional level. However, it should be noted that the Report contains raw data – counts of offences and offenders – rather than normalized data and the trend is mitigated to some degree by the growth in the University's enrollment and improvements in the University's means of detecting and handling cases of academic misconduct.

The University continues to take a proactive approach to academic integrity issues. The Centre for Teaching Support and Innovation ("CTSI") hosts both an on-campus resource centre and an Academic Integrity website which bring together materials and resources for faculty, students and TAs (www.utoronto.ca/academicintegrity). The CTSI also runs a variety of workshops and information sessions on a range of topics related to the promotion of academic integrity. Workshops are also organized centrally to assist those responsible for administering the *Code* at the divisional level. These efforts are augmented by wide varieties of educational initiatives within the divisions that are designed specifically to raise awareness of the importance of academic integrity and to help promote the divisions' commitment to prevention.

The University is also committed to judicial transparency and procedural fairness. ADFG continues to make process improvements and develop protocols related to scheduling, tracking and issuing decisions, to help address the rising number of cases handled by the University Tribunal and to ensure quicker resolution. ADFG is also in the process of creating a new web site to aid in providing education and information to the University community

FINANCIAL AND/OR PLANNING IMPLICATIONS:

N.A.

RECOMMENDATION:

Report is presented for information.

University of Toronto
Summary of Divisional Academic Discipline Cases
2009-2010

Number of Student Offenders by Division

Division	2006-07	2007-08	2008-09	2009-10
Applied Science & Engineering	123	147	115	133
Arts & Science	385	398	383	415
Dentistry	3	6	1	1
Graduate Studies	23	11	14	22
Law	1	1	0	5
Medicine	0	0	0	0
Music	2	2	5	5
Nursing	8	8	2	2
OISE / UT	0	0	0	0
Pharmacy	4	1	2	7
Physical Education & Health	7	N/A	0	12
U of T Mississauga	118	176	270	234
U of T Scarborough	107	126	85	76
Total	781	876	877	912

Number of Offences by Type

Charge Code	Charge Text	2006-07	2007-08	2008-09	2009-10
B.i.1(a)	Forgery (documents, not transcripts)	16	17	43	22
B.i.1(b)	Unauthorized aid	248	280	313	348
B.i.1(c)	Personation	0	4	2	2
B.i.1(d)	Plagiarism	465	450	488	504
B.i.1(e)	Re-submission of work	7	8	10	13
B.i.1(f)	Concoction	2	26	0	0
B.i.3(a)	Forgery (academic records)	0	5	0	0
B.i.3(b)	Cheating for academic advantage	43	86	21	23
	Total	781	876	877	912

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Summary of Divisional Academic Discipline Cases July 1, 2009 - June 30, 2010

Division	Response	B.i.1(a) Forge or Falsify Doc Req'd by Uni	B.i.1 (b) Use or Possess an unauthorized aid(s)	B.i.1 (c) Personation	B.i.1 (d) Plagiarism	B.i.1 (e) Resubmission of work	B.i.1(f) Concocted References	B.i.3 (a) Forge/falsified academic record	B.i.3 (b) Cheating, dishonesty, misconduct ...	Total	Repeat Offenders
Applied Science and Engineering	Yes	3	13	0	117	0	0	0	0	133	14
Arts & Science	Yes	11	197	2	177	11	0	0	17	415	36
Dentistry	Yes	0	1	0	0	0	0	0	0	1	0
School of Graduate Studies	Yes	0	10	0	12	0	0	0	0	22	1
Law	Yes	1	0	0	4	0	0	0	0	5	0
Medicine	Yes	0	0	0	0	0	0	0	0	0	0
Music	Yes	0	0	0	5	0	0	0	0	5	1
Nursing	Yes	0	0	0	2	0	0	0	0	2	0
OISE/UT	Yes	0	0	0	0	0	0	0	0	0	0
Pharmacy	Yes	0	5	0	2	0	0	0	0	7	0
Physical Education & Health	Yes	1	0	0	9	0	0	0	2	12	0
U of T Mississauga	Yes	4	93	0	131	2	0	0	4	234	19
U of T Scarborough	Yes	2	29	0	45	0	0	0	0	76	5
Total	13	22	348	2	504	13	0	0	23	912	76

Note: The following do not have undergraduate programs and are counted under the School of Graduate Studies statistics:
 John H. Daniels Faculty of Architecture, Landscape, and Design
 Faculty of Forestry
 Faculty of Information
 Factor-Inwentash Faculty of Social Work

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 November 10, 2010

University of Toronto

Summary of University Tribunal Cases 2009-2010

Overview of Open Cases

Year July 1-June 30	Cases Carried Forward charges laid before July 1	New Cases charges laid	Total Open Cases	Cases Resolved	Cases Carried Forward
2006-07	25	24	49	19	28
2007-08	28	21	49	23	26
2008-09	26	38	64	25	39
2009-10	39	38	77	51*	26**

*This includes 14 that were returned to the decanal level/settled. 37 were resolved at the Tribunal level by issuing decisions.

** The 26 cases carried forward are not all active as only 6 are awaiting a Tribunal hearing and 2 are in abeyance.

Number of Cases by Final Outcome

Outcome	2006-07	2007-08	2008-09	2009-10
Acquittal	0	0	0	1
Degree Recall	0	4	1	0
Expulsion from University	6	4	3	13
Suspension	4	8	13	23
Returned to Decanal Level / Minutes of Settlement	9	7	8	14

Number of Cases Appealed

	2006-07	2007-08	2008-09	2009-10
Total	2	1	0	0*

* Some cases were appealed during this period but they will be recorded in next year's report because it is based upon the date the decision is issued.

Number of Offences by Type

Charge Code	Charge Text	2006-07	2007-08	2008-09	2009-10**
B.i.1(a)	Forgery (documents, not transcripts)	5	8	17	22
B.i.1(b)	Unauthorized aid	6	24	7	18
B.i.1(c)	Personation	0	1	3	0
B.i.1(d)	Plagiarism	16	35	19	25
B.i.1(e)	Re-submission of work	0	4	0	0
B.i.1(f)	Concoction	3	8	5	5
B.i.3(a)	Forgery (academic records)	5	8	7	23
B.i.3(b)	Cheating for academic advantage	6	22	2	7

*These include offences that went back to the decanal level.

Number of Offenders by Division

Division	2006-07	2007-08	2008-09	2009-10**
Applied Science & Engineering	0	1	1	0
Arts & Science	9	12	12	24
Dentistry	0	0	0	0
Graduate Studies	1	2	0	3
Law	0	1	0	1
Medicine	0	0	0	0
Music	0	0	0	0
Nursing	0	0	0	0
OISE / UT	0	0	0	0
Pharmacy	0	0	0	0
Physical Education & Health	0	0	0	0
U of T Mississauga	6	3	7	18
U of T Scarborough	3	4	5	5

** This includes 14 offenders whose cases went back to decanal level for resolution/settled.